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August 1, 2001

**TO: BARBARA A. CAMPBELL
GROUP: PCT****FAX NUMBER: 703-305-3230****ATTORNEY DOCKET NO: RTSP-0104****SERIAL NO: 09/787,184****FILED: March 15, 2001****NUMBER OF PAGES: -4-
(including this sheet)**

MESSAGE: As per our discussion, attached is a copy of the date-stamped postcard from the PCT/PTO acknowledging receipt of the substitute CRF of the Sequence Listing (diskette) and accompanying Statement in compliance with the initial Notification to Comply of May 4, 2001. A copy of the Notification to Comply and Defective Response dated July 25, 2001, is also attached for your reference. If you have any questions or require anything further, please contact Kathleen A. Tyrrell at 856-810-1515.

**Susan M. Heritage, Paralegal
to Kathleen A. Tyrrell**

**URGENT! PLEASE DELIVER IMMEDIATELY UPON
RECEIPT. THANK YOU!**

If you have any questions, or did not receive the proper number of pages, or had trouble during transmission, please call 856-810-1515.

CONFIDENTIALITY NOTICE

The information contained in this facsimile message is legally privileged and confidential, and intended only for the use of the individual(s) and/or entity(ies) named above. If you are not the intended recipient, you are hereby notified that any unauthorized disclosure, copying distribution or taking of any action in reliance on the contents of the telecopied materials is strictly prohibited and review by any individual other than the intended recipient shall not constitute waiver of the attorney client privilege. If you have received this transmission in error, please immediately notify us by telephone in order to arrange for the return of the materials. Thank you.

RECEIVED BY THE UNITED STATES
PATENT AND TRADEMARK OFFICE

Paper:

Response to Notification to Comply with Requirements (in duplicate); copy of Notification to Comply; Amendment under 1.825; Statement to Support Filing and Submission in Accordance with 37 CFR §§1.821-1.825; substitute copy of Sequence Listing; and diskette containing computer readable copy of Sequence Listing.

Applicant(s): Lex M. Cowser
Serial No.: 09/787184
Filed: Not yet assigned
Docket No.: RTSP-0104
Date Sent: June 18, 2001



JC17 Rec'd PCT/PTO 20 JUN 2001



UNITED STATES PATENT AND TRADEMARK OFFICE

 Commissioner for Patents, Box PCT
 United States Patent and Trademark Office
 Washington, D.C. 20231
 www.uspto.gov

U.S. APPLICATION NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
09/787184	COWSERT	L RTSP-0104

 LICATA & TYRRELL
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 MARLTON, NJ 08053

 Docket System ☒
 Status Report ☒
 Docket Book ☒

INTERNATIONAL APPLICATION NO.

PCT/US99/13711

I.A. FILING DATE

PRIORITY DATE

17 JUN 99

18 SEP 98

DATE MAILED: 25 JUL 2001

**NOTIFICATION TO COMPLY WITH REQUIREMENTS FOR PATENT APPLICATIONS
 CONTAINING NUCLEOTIDE SEQUENCE AND/OR AMINO ACID SEQUENCE
 DISCLOSURES**

8-25-01

MAX 1-25-02

Applicant has submitted papers under 35 U.S.C. 371 to enter the national stage in the United States of America. The items indicated below, however, are missing. The period within which to correct the deficiency noted below and avoid abandonment is set forth in the accompanying Notification.

The nucleotide and/or amino acid sequence disclosure contained in this application does not comply with the requirements for such a disclosure as set forth in 37 CFR 1.821-1.825 for the following reason(s):

- ☒ The application fails to comply with the requirements of 37 CFR 1.821-1.825.
- ☐ This application does not contain, a "Sequence Listing" as a separate part of the disclosure on paper copy or compact disc, as required by 37 CFR 1.821(c).
- ☒ A copy of the "Sequence Listing" in computer readable format has not been submitted as required by 37 CFR 1.821(c).
- ☐ A copy of the "Sequence Listing" in computer readable form has been submitted. The content of the computer readable form, however, does not comply with the requirements of 37 CFR 1.822 and/or 1.832, as indicated on the attached marked-up copy of the "Raw Sequence Listing."
- ☐ The computer readable form that has been filed with this application has been found to be damaged and/or unreadable as indicated on the attached CRF Diskette Problem Report. A substitute computer readable form must be submitted as required by 37 CFR 1.825(d).
- ☐ The paper copy or compact disc of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e).
- ☐ Other: _____

APPLICANT MUST PROVIDE:

- ☒ An initial or substitute computer readable form (CRF) of the "Sequence Listing."
- ☐ An initial or substitute paper copy or compact disc of the "Sequence Listing," as well as an amendment directing its entry into the specification.
- ☒ A statement that the contents of the paper or compact disc and the computer readable form are the same and, where applicable, include no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b) or 1.825(d).

FOR QUESTIONS REGARDING COMPLIANCE WITH THESE REQUIREMENTS, PLEASE
 CALL:

(703) 308-4216; for Rules interpretation,
 (703) 308-4212, for CRF submission help,
 (703) 287-0200, for PatentIn software help.

Barbara A. Campbell

Telephone: 703-305-3631

FORM PCT/DO/EO/920 (March 2001)



UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents, Box PCT
United States Patent and Trademark Office
Washington, D.C. 20231
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JANE MASSEY LICATA
LICATA & TYRRELL
86 E MAIN STREET
MARLTON, NJ 08053

DATE MAILED: 25 JUL 2001

NOTIFICATION OF A DEFECTIVE RESPONSE

- ☐ The request for an extension of time (37 CFR 1.136(a)) filed _____ is defective because the required fee is missing/insufficient. Extension of time fees are listed at 37 CFR 1.17(a)(1)-(a)(5).
- ☐ Applicant's response filed _____ was received in the Office after the expiration of the period for response set in the Office notification mailed _____. This application will become abandoned unless applicant obtains an extension of time to reply to the last Office notification under 37 CFR 1.136(a).
- ☒ Applicant's response filed JUNE 27, 2001 is hereby acknowledged. The following requirements set forth in the NOTIFICATION of MISSING REQUIREMENTS (Form PCT/DO/EO/905) mailed MAY 04, 2001 have not been completed.

- ☐ Translation of the international application into English.
 - ☐ which is defective for the reasons indicated on the attached Notice of Defective Translation.
- ☐ Processing fee (37 CFR 1.492(f)).
- ☐ Oath or Declaration of inventor(s).
 - ☐ not in compliance with 37 CFR 1.497(a) and (b) for the reasons indicated on the attached PCT/DO/EO/917.
- ☐ Surcharge (37 CFR 1.492(e)).
- ☒ Sequence Listing.
 - ☒ not in compliance with 37 CFR 1.821-1.825 for the reasons indicated on the attached PCT/DO/EO/920.
- ☐ Additional claim fees.

Applicant is required to complete the response within a time limit of ONE MONTH from the date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements (Form DO/EO/905), whichever is the longer. No extension of this time limit may be granted under 37 C.F.R. § 1.136, but the period for response set in the Notification of Missing Requirements (Form DO/EO/905) may be extended under 37 C.F.R. § 1.136(a).

Applicant is reminded that any communication to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above. (37 CFR 1.5)

Enclosed: ☐ PCT/DO/EO/917 ☐ Notice of Defective Translation
☒ PCT/DO/EO/920

Barbara A. Campbell

Telephone: 703-305-3631